

# WHISTLEBLOWING

## **Message from MUFG Bank (Malaysia) Berhad Management**

BTMUM has a whistleblowing policy that provides an avenue for all 3<sup>rd</sup> parties such as consultants, contractors, vendors, agencies or any other service providers who are engaged with the bank to raise their concerns related to corruptions, fraud, any misconduct or offences made by the bank's personnel. If you wish to report any wrongdoing(s) that violates the laws and regulation, please escalate using the following channel.

## **Whistle blowing channel**

The MUFG Compliance Hotline is managed by a law firm in Tokyo and they are a separate and independent office of MUFG and is bounded by the laws, regulations and code of ethics of professional conduct of secrecy duty.

### **MUFG Compliance Hotline**

Name : Nishimura & Asahi  
Address: Otemon Tower, 1-1-2 Otemachi, Chiyoda-ku, Tokyo 100-8124, Japan

### **Reporting Method**

Report by letter or e-mail  
e-mail : [mufgwhistleblow@jurists.co.jp](mailto:mufgwhistleblow@jurists.co.jp)  
Web Address: <http://www.jurists.co.jp/en/>

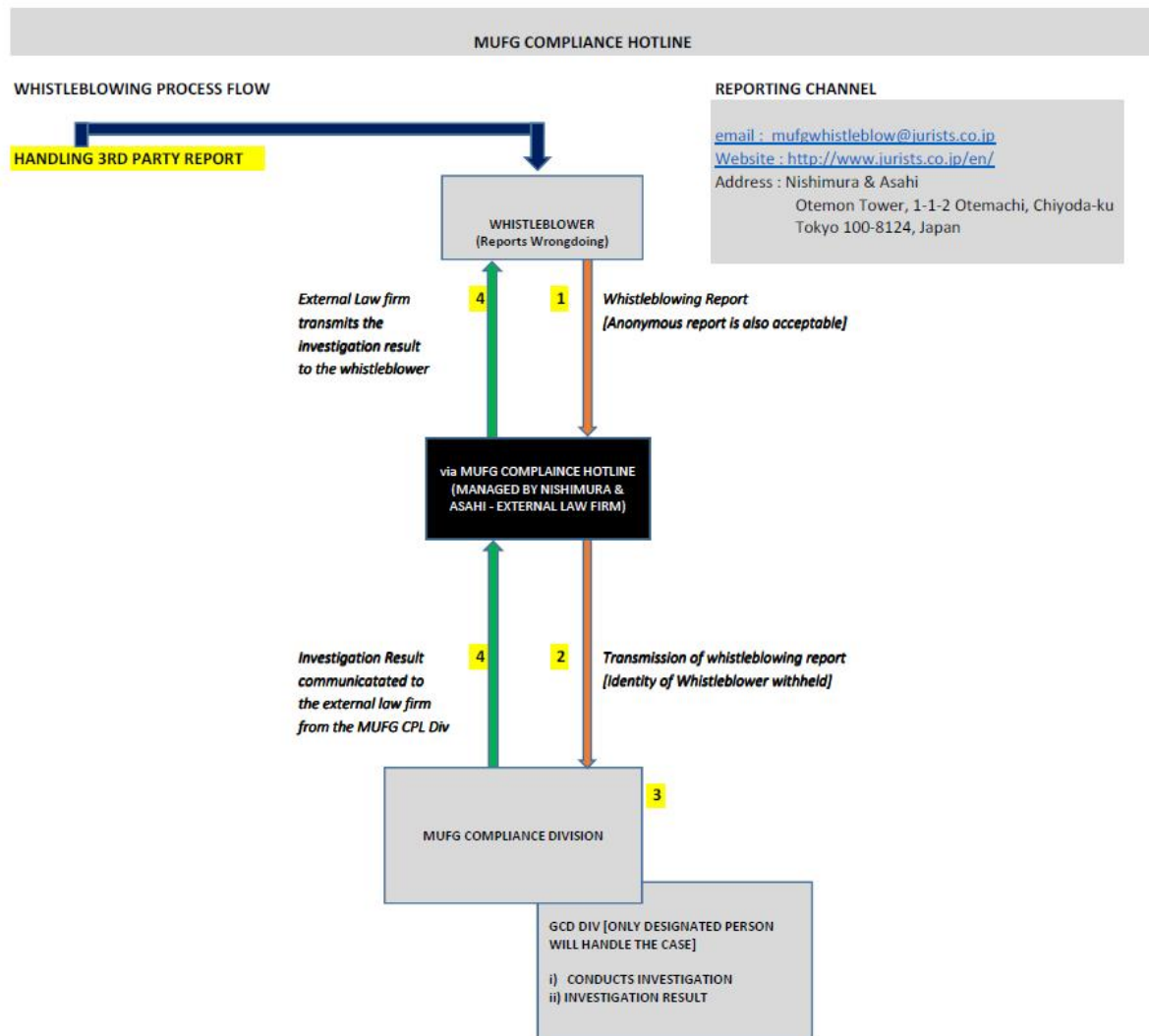
## **Confidentiality**

The identity of the Whistleblower shall be handled with utmost confidentiality and shall not be disclosed unless with the consent of the Whistleblower. If you wish to stay anonymous, we respect your decision and we shall handle those information with strict confidentiality.

## **Protection for the Whistleblower**

The MUFG Compliance Hotline allows reporting on wrongdoing by the 3<sup>rd</sup> parties without fear of retaliation, therefore your whistleblowing should be made in good faith and with reasonable belief that the information is substantially true.

## Reporting Flow



### Description of the flow

1. The Whistleblower reports on the wrongdoing to the MUFG Compliance Hotline managed by Nishimura & Asahi. (The Whistleblower shall remain anonymous if he/she wishes to).
2. Nishimura & Asahi shall transmit the report to MUFG Compliance Division. Identity of the Whistleblower shall be withheld. The identity shall only be disclosed with the consent from the Whistleblower.
3. MUFG Compliance Division will initiate investigation and the result will be communicated to Nishimura & Asahi.
4. Nishimura & Asahi will then communicate the investigation result to the Whistleblower provided that the contact details are provided.

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